

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Takeshi (nmi) Hoshida  
Serial No.: 09/853,318  
Filing Date: May 10, 2001  
Group Art Unit: 2633  
Confirmation No.: 5944  
Examiner: Christina Y. Leung  
Title: Receiver and Method for a Multichannel Optical  
Communication System

**Mail Stop: Amendments**  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97, and 1.98, that the documents listed on the attached Form PTO-1449 be considered and cited in the examination of the above-identified application.

Pursuant to the changes to C.F.R. §1.98(a)(2) set forth in 69 FR 56481 and effective October 21, 2004, copies of U.S. patents and U.S. patent application publications have not been provided. References other than U.S. patents and U.S. patent application publications are enclosed for the convenience of the Examiner.

Pursuant to 37 C.F.R. §§1.97(g) and (h), Applicants make no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.


**REMARKS**

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c). Further, the items of information contained in this Information Disclosure Statement were first cited in a communication from a foreign patent office and in a counterpart foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Please charge a fee in amount of \$180.00 to cover the filing fee for this Information Disclosure Statement to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. The Commissioner is also authorized to charge any other fees or credit any overpayments to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicant



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Date: August 21, 2006

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**Customer Number                      05073**

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|----------|--------------------------------------|--|------------------------------------|
| PTO-1449 | Application No.<br><b>09/853,318</b> | Applicant(s)<br><b>Takeshi (nmi) Hoshida</b> |                                    |
|          | Docket Number<br><b>064731.0184</b>  | Group Art Unit<br><b>2633</b>                | Filing Date<br><b>May 10, 2001</b> |

**Information Disclosure Citation  
In an Application**

**U.S. PATENT DOCUMENTS**

|  |   | DOCUMENT NO. | DATE       | NAME            | CLASS | SUBCLASS | FILING DATE |
|--|---|--------------|------------|-----------------|-------|----------|-------------|
|  | A | 6,263,139    | 07/17/2001 | Kawakami et al. |       |          |             |
|  | B |              |            |                 |       |          |             |
|  | C |              |            |                 |       |          |             |
|  | D |              |            |                 |       |          |             |
|  | E |              |            |                 |       |          |             |
|  | F |              |            |                 |       |          |             |
|  | G |              |            |                 |       |          |             |
|  | H |              |            |                 |       |          |             |
|  | I |              |            |                 |       |          |             |

**FOREIGN PATENT DOCUMENTS**

|  |   | DOCUMENT NO.   | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|---|----------------|------------|---------|-------|----------|-------------|----|
|  |   |                |            |         |       |          | YES         | NO |
|  | J | JP 2000-314902 | 11/14/2000 | JAPAN   |       |          | X           |    |
|  | K | JP 11-220443   | 08/10/1999 | JAPAN   |       |          | X           |    |
|  | L | JP 2000-151507 | 05/30/2000 | JAPAN   |       |          | X           |    |

|   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages)  | DATE       |
|---|--|------------|
| M | Letter from the International Patent Office regarding Office Action and Abstract received for Japanese Patent Application 2002-587983, 3 pages, 08/15/2006 | 08/15/2006 |
| N | Letter from the International Patent Office regarding Office Action and Abstract received for Japanese Patent Application 2002-587989, 4 pages, 08/15/2006 | 08/15/2006 |
| O |  |            |
| P |  |            |
| Q |  |            |

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. |                 |